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Substitute for form 1449A, PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/986,330
		Filing Date	Herewith 11/9/01
		First Named Inventor	Haruaki SUE et al.
		Art Unit	1712
		Examiner Name	SELLERS
Sheet 1 of 2	Attorney Docket Number	TM&K 0014	

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
[Signature]		US- 3,332,908	07-25-1967	SELLERS et al.	CLASS/FIGURES Appear
		US- 3,689,573	09-05-1972	KLEIN et al.	
		US- 3,970,694	07-20-1976	MINA et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
[Signature]		JP 60-135427	07-18-1985	Hitachi Chemical Co.		
		JP 50-024256	03-15-1975	Sumitomo Chemical		
		JP 48-072159	09-29-1973	Pirro d'Etude ...		
		JP 47-014094	04-27-1972	Farbenfabriken Bayer		

Examiner Signature	ACBERT SELLERS	Date Considered	3/17/03
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¹ EXAMINER: Initial if reference considered; whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ See Kind Codes of USPTO Patent Documents at 37 CFR 1.101 or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16, if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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09/986630



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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	07/986,330
		Filing Date	Herewith
		First Named Inventor	Haruaki SUE et al.
		Group Art Unit	1712
		Examiner Name	ROBERT SELLERS
Sheet 2 of 2	Attorney Docket Number	TM&K 0014	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate); title of the item (book, magazine, journal, serial, symposium, catalog, etc.); date, page(s), volume-issue number(s); publisher, city and/or country where published.	T ²
IN		S. Kanemasa et al., "di-Selective Reductive Coupling/Dieckmann Condensation Sequence of alpha-, beta-Unsaturated Amides with Samarium (II) Iodide HMPA", Tetrahedron Letters, Vol. 37, No. 47, 11-18-1996, pp. 8505-8506	
IN		D. Rao et al., "Indirect Hydroxylation of Phenols", Tetrahedron Letters, Vol. 22, No. 25, 1981, pp. 2337-2340	
IN		P. Bartlett et al., "Rearrangement of 10-oxabenzosyn-sesquinorbornene", Tetrahedron Letters, Vol. 26, No. 22, 1985, pp. 2615-2616	

Examiner Signature	ROBERT SELLERS	Date Considered	3/17/03
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